

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): SHIBATA

Appln. No. 09 493,819

Series C de ↑ Serial No. ↑

Filed: January 28, 2000

Title: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR DEVICE

Group Art Unit 2814

Examiner: Wille, Douglas A.

Atty. Dkt. PM 266204

T36-119817M/KOH

M#

Client Ref

R
R C E
EDO NOT USE FOR PROVISIONAL,
DIVISIONAL, CIP OR DESIGN
APPLICATIONS, OR REEXAMINATION OF
PATENTSHon. Commissioner of Patents
Washington, D.C. 20231

Date:

April 1, 2002

#10 RCE
M. Brunson
FAX COPY RECEIVED
8/15/02
AUG 7 2002

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

TECHNOLOGY CENTER 2800

Please continue the examination of this application.

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.

An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).Prosecution has been closed as defined in Rule 114(b).Reply to any outstanding action and Rule 17(e) filing fee must be enclosed

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed February 26, 2002
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed ___ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement
 - ☐ IDS Letter ☐ Cited Appln ☐ Foreign Search Report/OA
 - ☐ PTO-1449 ☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of ___ months (3 mos. Max) for which the required \$130 fee is enclosed
7. The required RCE filing fee of \$740/\$370 is attached (per PAT-120)

(Our Deposit Account No. 03-3975)

(Our Order No. 51273 / 266204)

C#

M#

**NOTE: Enter Rule 17(e) filing fee on PAT-120 for it
Cannot be deferred!****NO CLAIMS FEE REQUIRED**

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Pillsbury Winthrop LLP
Intellectual Property Group1600 Tysons Boulevard
McLean, VA 22102

By Atty: John P. Darling

Reg. No. 44,482

Sig:

Fax: (703) 905-2500

Tel: (703) 905-2045

(703) 905-2000
Atty/Sec: JPD/tmr**NOTE: File this Request (plus enclosures, if any) with cover sheet (PAT-120) in duplicate and with PTO receipt (PAT-103A)**

30275074_1 DOC

PAT-252 10/01

FROM
Intellectual Property Group of
Pillsbury Winthrop LLP
Attorneys at Law
P.O. Box 10500
McLean, VA 22102

Telephone: (703) 905-2000

Our Facsimile #: (703) 905-2500

FACSIMILE TRANSMISSION

FAX COPY RECEIVED

AUG 7 2002

TECHNOLOGY CENTER 2800

TO: UNITED STATES PATENT AND TRADEMARK OFFICEFACSIMILE #: (703) 308-7722No. Pages (Including this page) 4 FAX Opr: Theresa Togba

IF YOU DO NOT RECEIVE CLEARLY ALL PAGES, PLEASE CONTACT US IMMEDIATELY
By Telephone AT: (703) 905-22045 (local)
or (703) 905-2000 (local)

USPTO:

PLEASE ACKNOWLEDGE CLEAR RECEIPT OF ALL PAGES INDICATED
ABOVE BY FAXING THIS PAGE BACK TO ONE OF OUR FACSIMILE
NUMBERS STATED ABOVE

In re PATENT APPLICATION of
Inventor(s) SHIBATA et al.
Appln. No. 09/493,819

Group Art Unit: 2814
Examiner: Wille Douglas A.

Series code ↑ ↑ serial no.
Filed: January 28, 2000

Atty. Dkt. PM 266204
M#

TITLE: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR DEVICE

Date: August 7, 2002

Name or type of signed paper being transmitted:
PTO filed-stamped receipt, PAT 120, RCE

MESSAGE:

Examiner Wille:

Further to our discussion this morning, enclosed is a copy of the RCE filed April 1, 2002, along with a copy of our receipt. Please contact me should you need anything else.

Respectfully,

PILLSBURY WINTHROP LLP

John P. Darling
John P. Darling
Reg. No. 44,482

(ATTN: Atty/Sec.: Transmit only one paper herewith. For papers not acceptable by fax, see back side of LAN Forms Directory PAT-286 Rear. Do not file originals but fasten them in our file (left side) with this sheet and fax receipt on top. Do NOT send the originals nor a confirmation copy to the PTO.)

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Name John P. Darling Sig. _____ Date August 7, 2002

51273/266204

C# / M#

RECEIPT FROM PTO FOR INDICATED ITEMS

(Do **NOT** Use for New or Continuing Applications of Any Kind)
 Use 2 postcards for all New Applns. (cont/Div/CIP, too)
Use this sheet when filing CPA

Appln. No: 09/493,819	Atty: John P. Darling/Togba
First Inventor: SHIBATA	Date: April 1, 2002
GROUP III NITRIDE COMPOUND SEMICONDUCTOR DEVICE	Matter No: 266204
	Client No: 51273

ENCLOSED:

☐ Response/Amendment ☐ Appendix ☐ Cover Sheet ☐ Cited/Listed Documents

☐ Completion Request for R 53(f)/PCT Nat.

No. of Pages Abstract

No. of Pages Spec and Claims

No. of Numbered Claims Only

No. of Sheets of Drawings (Figs)

☐ 1 Set Formal ☐ 1 Set Informal ☐ Cover Letter

☐ Declaration

☐ # of pages

☐ Assignment

☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

☐ No. of Priority Documents

☐ IDS Letter ☐ Cited Appln(s) ☐ Foreign Sch Rep/OA

☐ PTO-1449 ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$

Fee (Check)

OTHER:

Request for Continued Examination Under Rule 114; Two Month Extension Petition

Current DUE DATE:

April 26, 2002

(Submit Single Copy Only)

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AUG 7 2002

TECHNOLOGY CENTER 2800



Inventor(s): SHIBATA

Appl. No. 09-493,819

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Filed: January 28, 2000

Hon Commissioner of Patents
Washington, D.C. 20231

Group Art Unit

Examiner.

Atty. Dkt.

Wille, Douglas A.

P 266204 T36-119817M/KOH

Mr Client Ref

Appl. Title. GROUP III NITRIDE COMPOUND
SEMICONDUCTOR DEVICE

FAX COPY RECEIVED

Sir,

REPLY/AMENDMENT/LETTER

Date: April 1, 2002

AUG 7 2002

TECHNOLOGY CENTER 2800

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

- 1 Small Entry claim
- A. ☒ NOT made
B. ☐ Withdrawn
C. ☐ made herewith
D. ☐ made previously
- For B & C
See Required
Separate Paper
(Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entry	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	5	**minus 20	0	x \$18/\$9 = + \$0	103/203
3. Independent Claims	2	***minus 3	0	x \$84/\$42 = + \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)				+ \$280/\$140 = + \$0	104/204
5. Original due Date: February 26, 2002		<input type="checkbox"/> NONE			
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (Usable only for ≤ 2mo OA --- 4 mos) (Usable only for 30 day/1mo.OA --- 5 mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720 = \$1,960/\$980 =	+ \$400		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0		
8. Extension Fee Attached				+ \$400	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee			+ \$110/\$55	+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c), add			+ \$180	+ \$0	126
or if Rule 97(d) Request, add			+ \$180		126
11. After-Final Request Fee per rules 129(a) and 17(r)			+ \$740/370	+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b)			x \$740/370 ea	+ \$0	149/249
13. Request for Continued Examination (RCE)			+ \$740/370	+ \$740	1179/1279
14. Petition fee for				+ \$0	
TOTAL FEE ENCLOSED =				\$1140	

15.

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0"

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 51273 266204

CP

MR

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or assessed to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: John P. Darling

Sig:

Reg. No. 44,482

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1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments